

BASSETT, DAWSON & FOY, INC.

WEALTH SOLUTIONS *Since 1985

*Bassett, Dawson & Foy, Inc. is not a registered broker/dealer and is independent of
Raymond James Financial Services, Inc. Member FINRA/SIPC.*

E.A. Delle Donne Corporate Center
1011 Centre Road, Suite 110
Wilmington, DE 19805
302-999-9330

CHECKLIST OF DATA AND DOCUMENTS

1. Family data, ages, including parents of clients and spouse. _____
2. Copies of federal and state tax returns for past 3 years; corporate returns, if any. _____
3. Copies of any federal gift returns. _____
4. Complete data on businesses owned. _____
5. Copies of partnership agreements or by-laws of businesses owned. _____
6. Copies of wills, trust agreements, divorce settlement agreements, buy/sell agreements, and any other agreements relating to testamentary disposition. _____
7. Data on all real estate owned (individual or corporate) including location, date purchased, cost basis, current fair market value, and mortgage details. _____
8. Breakdown of income from all sources. Include recent salary check stubs. _____
9. List of all assets owned, dates of purchase, cost basis, and reason for owning, including savings accounts, notes receivable, mortgages, bonds, stocks, education trusts, etc. _____
10. Copies of contracts or plan descriptions for employee benefits, such as pension plans, profit sharing plans, deferred compensation plans and group insurance plans. (i.e. Benefax, Stock Options). _____
11. Most recent report from employer of Present benefit plans. (i.e. Savings Plan Statement). _____
12. Copies of life insurance policies and disability income policies. _____
13. Estimated value of all personal property; including automobiles and boats. _____
14. Information on present or anticipated inheritances. _____
15. Summaries of any financial objectives or plan you may have completed in the past. _____

Securities Offered Through:
RAYMOND JAMES FINANCIAL SERVICES INC.
Member FINRA/SIPC

Investment advisory services offered through Raymond James Financial Services Advisors, Inc.